

SUBSTITUTE FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

28955.4028

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/541745INTERNATIONAL APPLICATION NO.
PCT/JP2003/012322INTERNATIONAL FILING DATE
26 September 2003PRIORITY DATE CLAIMED
10 January 2003TITLE OF INVENTION
NITROGENOUS HETEROCYCLIC DERIVATIVE AND ORGANIC ELECTROLUMINESCENT ELEMENT EMPLOYING THE SAME

APPLICANT(S) FOR DO/EO/US

Hiroshi YAMAMOTO, Masahide MATSUURA, Hidetsugu IKEDA, Mineyuki KUBOTA and Masahiro KAWAMURA

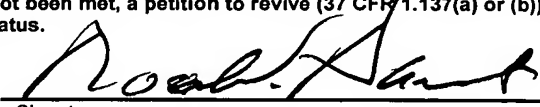
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Cover sheet of Int'l Publ. WO 2004/063159
PCT Request
Forms PCT/IB/304 and 308
International Search Report
Notice Of Change Of Correspondence Address

JC14 Rec'd PCT/PTO 08 JUL 2005

| | | | | | |
|--|--------------|---|---|--|-----------------|
| U.S. Application No. (if known, see 37 CFR 1.5) 10/541745 | | INTERNATIONAL APPLICATION NO. PCT/JP2003/012322 | | ATTORNEY'S DOCKET NUMBER 28955.4028 | |
| The following fees have been submitted: | | | | Applicant use | Office use only |
| 21. <input checked="" type="checkbox"/> Basic national fee \$300 | | | | \$ 300 | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200 | | | | \$ 200 | |
| 23. <input checked="" type="checkbox"/> Search fee If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500 | | | | 500 | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 1000 | |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 159 - 100 = | 59 /50 = | 2 | x \$250 | \$ 500 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 20 - 20 = | 0 | x \$ 50 | \$ | |
| Independent claims | 4 - 3 = | 1 | x \$200 | \$ 200 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$360 | \$ |
| TOTAL ABOVE CALCULATIONS = | | | | \$ 700 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ | |
| SUBTOTAL = | | | | \$ 1700 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$ |
| TOTAL NATIONAL FEE = | | | | \$ 1700 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 3.28, 3.31). \$40.00 per property | | | | + | \$ |
| TOTAL FEES ENCLOSED = | | | | \$ 1700 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 1700 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 19-4293 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4293 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| USPTO CUSTOMER NO. 27890 | | |  Signature | | |
| STEPTOE & JOHNSON LLP Attn. USPTO Docket Administrator 1330 Connecticut Avenue, NW Washington, DC 20036 | | | Roger W. Parkhurst Name | | |
| Tel. 202.429.3000 Fax 202.429.3902 | | | 25,177 Registration No. | | |

10/541745

JC14 Rec'd PCT/PTO 08 JUL 2005

ATTY. DKT. 28955.4028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hiroshi YAMAMOTO et al

Serial No. (new - based on PCT/JP2003/012322)

Int'l Filing Date: September 26, 2003

For: NITROGENOUS HETEROCYCLIC DERIVATIVE AND ORGANIC
ELECTROLUMINESCENT ELEMENT EMPLOYING THE SAME

NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

US Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, Virginia 22314

Sir:

Please forward all future correspondence in connection with the above-identified application to the undersigned attorney of record at the following new address:

CUSTOMER NUMBER 27890

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Respectfully submitted,



Roger W. Parkhurst
Reg. No. 25,177